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PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

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(1921-1997)



February 22, 2002

UTILITY PATENT APPLICATION TRANSMITTAL
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: TAC 9764.1
First Named Inventor: Brian D. Bailie
Title: EXPERT SYSTEM AND METHOD FOR CREATING AN EMBROIDERED
FABRIC
Express Mail Label Number: EL 910601083 US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☒ Applicant claims small entity status
3. ☒ Specification [Total Pages 20]
4. ☒ Drawings [Total Sheets 03]
5. Oath or Declaration [Total Pages 04]
 - a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

20020220 154601083

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of prior application No.: _____
- ☒ Complete Application based on provisional Application
No. 60/271,285; filed 2/23/2001

Prior application information: Examiner:
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: David L. Howard

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David L. Howard". The signature is fluid and cursive, with the first name "David" and last name "Howard" clearly distinguishable.

David L. Howard, Reg. No. 41,502

DLH/cjl

FEE TRANSMITTAL

Application Number Not yet assigned
Filing Date February 22, 2002
Confirmation No. Not yet assigned
Inventor(s) Brian D. Bailie
Group Art Unit Not yet assigned
Examiner Name Not yet assigned
Attorney Docket Number TAC 9764.1

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☒ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☒ BASIC FILING FEE Subtotal (1) \$370.00
(Type: utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$9.00
- Total Claims 21
Independent Claims 03
Multiple Dependent Claims 00
3. ☐ ADDITIONAL FEES Subtotal (3) \$_____
- ☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$379.00


David L. Howard, Reg. No. 41,502

February 22, 2002
Date

DLH/cjl

Express Mail Label No. EL 910601083 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Brian D. Bailie
Serial No. Not yet assigned
Filed February 22, 2002
For EXPERT SYSTEM AND METHOD FOR CREATING AN EMBROIDERED FABRIC

February 22, 2002

TO THE ASSISTANT COMMISSIONER FOR PATENTS,

SIR:

Attached you will find a single CD-ROM (in duplicate Copy 1 and Copy 2) containing a Computer Program Listing Appendix A. This CD-ROM is in IBM PC compatible format and contains a single file entitled TAC9764.doc which is in WORD 97 format using MS Windows Operating System. The file was created on February 19, 2002 and is a size of 54,000 bytes. The CD's were created on February 22, 2002. This WORD document contains the lines of code which represent an example of one possible embodiment of a Computer Program Listing for this invention. Both CDs are identical. The CD-ROM and the TAC9764.doc file contained thereon have been incorporated by reference to the Patent Application filed on this same day in the case identified above. Also attached is a printed copy of the file Appendix A (the file TAC9764.doc) which is being provided hereto for reference.

Respectfully submitted,



David L. Howard, Reg. No. 41,502
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*Enclosure

Express Mail Receipt No. EL 910601083 US